

3 1 1 6 8

SEC Registration Number

A B R O W N C O M P A N Y , I N C .

(Company's Full Name)

A I R P O R T R O A D U P T O W N

C A G A Y A N D E O R O C I T Y

(Business Address: No. Street City/Town/Province)

Atty. Rosa Anna Duavit - Santiago

Contact Person

02-6386832

Company Telephone Number

Certificate of Attendance to  
Corporate Governance Seminar

1 2 / 3 1

Month Day  
Fiscal Year

A C G R

FORM TYPE

0 6

Month Day  
Annual Meeting

Secondary License Type, if applicable

C F D

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

- 0 -  
Foreign

To be accomplished by SEC Personnel concerned

File number

Document I.D.

STAMPS

LCU

Cashier

Remarks = pls. use black ink for scanning purposes

**21 July 2014**

1. .... 002-724-446-000

2. SEC Identification Number. 31168 3. BIR Tax Identification No. ....

**A BROWN COMPANY, INC.**

4. ....  
Exact name of issuer as specified in its charter

Metro Manila

5. .... 6. \_\_\_\_\_ (SEC Use Only)

Province, country or other jurisdiction Industry Classification Code:

Airport Road Uptown, Cagayan de Oro City 9000

7. ....  
Address of principal office

Liaison Office - (02) 638-6832 / (02) 633-3135

8. ....

9. ....

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt Outstanding

.....Common Stock.....1,732,865,522 shares.....

11. Indicate the item numbers reported herein: **Item No. 9**

Please refer to the attached letter to the Securities and Exchange Commission (SEC) dated **July 21, 2014.**

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**A BROWN COMPANY, INC.**

**21 July 2014**

  
ATTY. ROSA ANNA DUAVIT-SANTIAGO  
Vice – President/ Chief Finance Officer/Treasurer



21 July 2014

**SECURITIES AND EXCHANGE COMMISSION**

SEC Bldg., EDSA, Greenhills  
Mandaluyong City

Attention: **Atty. Justina F. Callangan**  
Acting Director  
Corporate Governance & Finance Department

Re: Certificate of Attendance of Directors and Officers on  
Corporate Governance Seminar


Gentlemen:

Anent to our letters dated July 14, 2014 and July 17, 2014, and in compliance with the Memorandum Circular No. 20, Series of 2013, herewith is the re-issued Certificate of Attendance of Walter W. Brown, Executive Chairman, who attended the Corporate Governance Seminar held on July 7, 2014 at Dusit Thani Hotel, Ayala Center, Makati City conducted by Philippine Securities Consultancy Corporation (PhilSECC), an accredited training provider of the Securities and Exchange Commission (SEC).

Name	Position
Walter W. Brown	Executive Chairman

Thank you for your kind consideration.

Very truly yours,



ATTY. ROSA ANNA DUAVIT-SANTIAGO  
Vice – President/ Chief Finance Officer/Treasurer

**PHILIPPINE SECURITIES CONSULTANCY CORPORATION**

Unit D, Yupangco Bldg., Florida St., Greenhills, Mandaluyong City  
Tel No. 697-6316 - TeleFax No. 727-0993  
Email: philsecc@yahoo.com

**CERTIFICATE OF ATTENDANCE**

This is to certify that

**Executive Chairman**  
(Designation)

of

**WALTER W. BROWN**

(Name of Participant)

**A Brown Company Inc.**  
(Name of Company)

has attended the

**CORPORATE GOVERNANCE SEMINAR**

(Title of Seminar)

held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on July 7, 2014, with the following particulars:

**SUBJECTS**

*General Principles -  
Global Standards/Local Regulatory Regime  
With Latest Developments in SEC, IC, BSP*

*Role and Accountability of Board of Directors/  
Executive Management in Companies Imbued with  
Public Interest; Focus: Board of Directors Oversight  
Relationship with the Audit Committee and the  
Independent Internal Auditor. The BW Resources - A  
Case Study; The Specifics on the SEC Mandatory ACGR  
and its role in the ASEAN Scorecard*

*Responsibilities & liabilities of covered companies and  
its corporate officers under the Anti-Money Laundering  
Act of 2001 (AMLA), as amended, required regulatory  
reports, compliance, enforcements, violations and  
penalties*

*Issues and Cases -  
- Issues Focus on: Multiple Board Seats; CEO/Chair  
in one Person; Board Quorum under SEC Code of CG;  
Board Self-Rating Performance; Board & Executive  
Remuneration; Cases involving Illegal Activities of Corp/  
Directors/Officers; Conflict of Interests & Related Party  
Transactions; Disclosure & Confidentiality; Accounting  
Fraud; Weak Operational Controls. - Regulatory Perspective  
on Risk Management; Full Board Responsibility on Risk  
Oversight & Its Delegated Responsibility to Risk Committee.*

**SPEAKERS**

**MS. CATHERINE N. SAPLALA**  
*Former Director  
Investments & Research Department  
Securities and Exchange Commission  
(SEC)  
BAPA, MBA, MA Research Communications*


**MRS. MERCEDES B. SULEIK**  
*B.S.C, CPA, PH.D. (cand.)  
Fellow, Institute of Corporate Directors  
(ICD)*

**ATTY. RICHARD DAVID C. FUNK II**  
*Deputy Director  
Head, Compliance & Investigation Group  
Anti-Money Laundering Council  
Bangko Sentral ng Pilipinas (BSP)*

**MS. CATHERINE N. SAPLALA**  
*Former Director  
Investments & Research Department  
Securities and Exchange Commission  
(SEC)  
BAPA, MBA, MA Research Communications*

Given this 7<sup>th</sup> day of July, 2014, at Makati City, Philippines.

  
**Atty. FIDEL V. ESQUIERES**  
President

  
**Atty. OLGA A. PACAÑA**  
Corporate Secretary